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JC75 U.S. PTO

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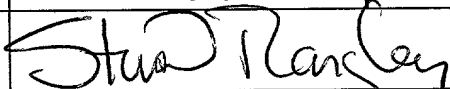
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02/08/02

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No.	A54	
		First Inventor	TAPESH YADAV	
		Title	PRECURSORS OF ENGINEERED POWDERS	
		Express Mail Label No.	EL941920303US	
<b>APPLICATION ELEMENTS</b>		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231		
<div style="display: flex; justify-content: space-between;"><div style="width: 48%;"><p>1. <input checked="" type="checkbox"/> Fee Transmittal Form <small>(submit an original and a duplicate for fee processing)</small></p><p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p><p>3. <input checked="" type="checkbox"/> Specification [ total pages <b>37</b> ] <small>(preferred Arrangement set forth below)</small></p><ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R&amp;D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul><p>4. <input checked="" type="checkbox"/> Drawing(s) [ total sheets <b>4</b> ]</p><p>5. <input checked="" type="checkbox"/> Oath or Declaration [ total pages <b>2</b> ]</p><div style="margin-left: 20px;"><p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p><p>b. <input type="checkbox"/> Copy from prior appl. (37 C.F.R. § 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small></p><p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b)</small></p></div></div><div style="width: 48%;"><p>6. <input type="checkbox"/> Application Data Sheet. (See 37 CFR 1.76)</p><p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p><p>8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small></p><div style="margin-left: 20px;"><p>a. <input type="checkbox"/> Computer Readable Form</p><p>b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper</p><p>c. <input type="checkbox"/> Statements verifying identity of above copies</p></div></div></div>				
<b>ACCOMPANYING APPLICATION PARTS</b>				
<p>9. <input checked="" type="checkbox"/> Assignment Papers (coversheet/document(s))</p> <p>10. <input checked="" type="checkbox"/> 37 CFR. 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small></p> <p>11. <input type="checkbox"/> English Translation Document</p> <p>12. <input type="checkbox"/> IDS &amp; Form PTO/SB/08A <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>16. <input type="checkbox"/> Nonpublication Request Under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35</p> <p>17. <input type="checkbox"/> Other:</p>				
<p><b>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</b></p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: ____/____</p> <p>Prior application information: Examiner: _____ Group/Art Unit: _____</p> <p><small>FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</small></p>				
<b>19. CORRESPONDENCE ADDRESS</b>				
<input checked="" type="checkbox"/> Customer Number or Bar Code Label <b>25235</b> or <input type="checkbox"/> Correspondence address below				
				
Name				
Address				
City	State	ZIP		
Country	Telephone	Fax		
Name (Print/Type)	<b>Stuart T. Langley</b>	Registration No.	<b>33,940</b>	
(Signature)		Date	<b>2/8/02</b>	

# FEE TRANSMITTAL for FY 2002

## Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	TAPESH YADAV
Examiner Name	
Group / Art Unit	
Attorney Docket No.	A54

☒ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** **(\$)** 410.00

### METHOD OF PAYMENT (check all that apply)

☒ check ☐ credit card ☐ money order ☐ other ☐ none  
☐ Deposit Account

Deposit Account Number 50-1123

Deposit Account Name Hogan & Hartson L.L.P.

The Commissioner is hereby authorized to. (check all that apply)  
☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) for this filing  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
740	370	Utility Filing Fee	370.00
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

**SUBTOTAL (1)** (\$)370.00

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
19	-20**= 0	X	
Independent Claims	3	-3**= 0	X
Multiple Dependent			

\*\*or number previously paid, if greater, For Reissues, see below

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	**Reissue independent claims over original patent
18	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$)0.00

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SRI after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Info Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	40.00
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR §1.129(b))	
740	370	Request for Continued Examination	
900	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)40.00

**SUBMITTED BY** Complete (if applicable)

Name (Print/Type) **Stuart T. Langley**

Registration No. (Attorney/Agent)

**33,940**

Telephone

**(720) 406-5335**

Signature

*Stuart T. Langley*

Date

**2/8/02**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: TAPESH YADAV

Serial No. NEW

Filed: Herewith

For: PRECURSORS OF  
ENGINEERED POWDERS

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

The undersigned hereby certifies that the following documents:

1. Utility Patent Application Transmittal;
2. Utility Patent Application;  
Specification 37 pages  
Drawings 4 sheets
3. Fee Transmittal with check in the amount of \$410.00;
4. Executed Declaration for Utility Patent Application;
5. Power of Attorney or Authorization of Agent;
6. Recordation Form Cover Sheet PTO 1595;
7. Executed Assignment and Recording Fee of \$40.00;
8. Certificate of Mailing By Express Mail; and
9. Return Receipt Postcard.

relating to the above application, were deposited as "Express Mail," Mailing Label No. EL941920303US, with the United States Postal Service, addressed to Box PATENT APPLICATION, The Commissioner for Patents, Washington, D.C., 20231, on February 8, 2002.

2/8/02

Date

2/8/02

Date

Stuart T. Langley

Mailer

Stuart T. Langley

Stuart T. Langley

Reg. No. 33,940

HOGAN & HARTSON<sub>LLP</sub>

One Tabor Center

1200 17th Street, Suite 1500

Denver, Colorado 80202

(720) 406-5335 Tel

(720) 406-5301 Fax

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: NanoEnergy Corporation

Application No./Patent No.: Not Assigned Filed/Issue Date: Herewith

Entitled: PRECURSORS OF ENGINEERED POWDERS

NanoEnergy Corporation a Delaware Corporation (Type of Assignee, e.g., corp., partnership, university, government agency, etc.,)

states that it is:

1. ☒ the assignee of the entire right, title and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

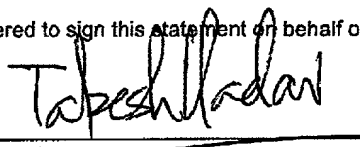
☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

02.08.02  
Date

  
Signature  
Tapesha Yadav  
Typed or printed name  
President  
Title